USES2 Online Workshop#2 18-22.11.2024

Sicherheit in Technik und Chemie

18.11.2024

BASICS IN ACOUSTIC EMISSION

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www.bam.de



Bundesanstalt für Materialforschung und -prüfung





- **11** departments divided into
- 75 divisions and sections

1550 staff including trainees, Ph.D. students, post-docs from more than **55** nations

Division 8.2:

Non-destructive Testing Methods for Civil Engineering (NDT-CE)

We are a team of <mark>28</mark> people



Our main fields of application

- NDT-CE for infrastructure/existing structures
- NDT-CE methods in nuclear waste disposal research and decommissioning
- NDT-CE for new materials and construction methods

Content

1. General overview

– Definitions, history, applications

2. Instruments and measurement process

Sensors, equipment, and how to use them

3. Signal characteristics

Time and frequency domain, AE vs seismology

A small break...? (15min)

4. Parameter based AE analysis

 Signal parameters, Kaiser effects, AE classification, b-value

5. Signal-based approaches

 Waveform analysis, quantitative analysis

6. Source location

Classification of localization methods, Geiger's method

7. Summary, conclusions, outlook





Acoustic emission – general overview

Content

- 1. General overview
- 2. Instruments and measurement process
- 3. Signal characteristics

A small break...? (15min)

- 4. Parameter based AE analysis
- 5. Signal-based approaches
- 6. Source localization
- 7. Summary, conclusions, outlook

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Acoustic emission – what is it?





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Brief history of AE





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Principles of active and passive techniques





From: Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition.

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Is AE really non-destructive?



- An active deterioration (a fracture/process) of the material is necessary for testing
- Usually applied during the loading most other NDT methods before or after loading a structure

Sources of acoustic emission - examples



•Crack formation or plastic deformation due •Interface conditions between concrete and

Metallic materials

•Initiation and growth of cracks • Particle fracture Sliding and dislocation movements

•Twinning or phase transformation





Pseudo-sources

to aging, temperature gradients, or

external mechanical forces

Rebar corrosion and failure

• Liquefaction and solidification

- Friction in rotating bearings
- Solid-solid phase
- transformations • Leaks
- Cavitation

Concrete

rebar

• Realignment or growth of magnetic domains

Tiet-flow impact sound nd at Leal



Composite materials

- •Generation and propagation of cracks within the matrix material, along the matrix-fiber interface
- •The fracture if single fiber filaments or as a bundle
- Friction of existing crack surfaces



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AE applications - examples

Bridges and buildings



Wood and timber structures



Pressure vessels

Pipelines



Wind turbines



Cultural heritage



Aerospace structures



Weld monitoring







Earthquake research



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Key Takeaways

- 1. AE = the spontaneous release of localized strain energy in stressed material
- This energy release can be due to, for example, microcracking in the material and can be recorded by transducers (sensors) attached to the material's surface
- Passive method the sources are within the material – they quasi "produce" the test signal
- 4. AE sources are varied, e.g.: crack initiation or propagation, matrix and fiber breakage, slip and dislocation movement, ...







Instruments and measurement process

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Measurement process





Measurement process





AE sensors



- Transform surface motions into electric signals – they generate electric field when they undergo deformations
- Contact type commonly used
- Piezoelectric sensors best combination of low cost, high sensitivity, ease of handling and selective frequency responses
- Two types of sensors:
 - Resonant
 - Broad-band



From: Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*.

AE sensors

Resonant sensor

- Narrow frequency response
- Peak sensitivity higher than broad-band
- The size of the PZT element affects the resonant frequency
- Higer resonant frequency for smaller piezo-elements
- Common frequency range 150 kHz 300 kHz

Broad-band sensor

- Wide (up to 2 MHz) and considerably "flat" frequency response
- Lower sensitivity (lower voltage than resonant sensor for the same displacement)
- Used when frequency analysis required in signal post-processing



https://www.physicalacoustics.com/by-product/sensors/R15a-150-kHz-General-Purpose-AE-Sensor



https://www.vallen.de/sensors/broad-band-sensors/vs900-m/

Mounting of AE sensors



- Coupling between sensors and a specimen is important due to the low amplitudes of AE signals
- The coupling should reduce the loss of signal energy and should have a low acoustic impedance compared to the material to be tested
- To decrease the attenuation of waves, it is necessary to avoid air bubbles and thick glue/couplant layers
- Mounting surface should be smooth and clean good adhesion
- Sensor must always be stationary

Sensitivity of AE sensor using different couplants



From: P. Theobald, B. Zeqiri and J. Avinson, "Couplants and their influence on a AE sensor sensitivity," Journal of Acoustic Emission, vol. 26, pp. 91-97, 2008.

Mounting of AE sensors



Wax, hot glue On concrete (a) (b) Specimen Clamps (d) (c)

Wax on metal

With magnetic clamps

With grease and tape

From: Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*.

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AE sensors – aperture effect



- In plate structures: the wave direction is predominantly parallel to the sensor face
- In bulk medium: AE wave comes at an angle or even ~90° (if the source deep inside the material)
- "Aperture" effect: decrease in the recorded wave amplitude at high frequencies due to multiple wavelengths being averaged over the area of contact of a sensor.
 - The larger the surface area of a transducer, the higher is the possibility to interfere with the waveform shape.



Waveform accurately depicted

Waveform not accurately depicted due to cancelling of successive cycles

From: Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*.

AE sensors – aperture effect



From: Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*.



Snapshots of the transient strain field in a metal plate after three cycles of 1MHz excitation a) 1 μ s, b) 8 μ s, and c) 10 μ s after the excitation



Simulated waveforms collected on metal specimen by sensors of different size after excitation of a) 1MHz, b) corresponding power spectra, c) 100 kHz, d) corresponding power spectra

Alternative AE sensors



Optical fiber sensor



Matsuo T., Orito H., and Hase K. (2019) Development of damage detection method in type-III hydrogen pressure vessel by acoustic emission

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Laser AE system



Nishinoiri S, Enoki M (2004) Development of in-situ monitoring system for sintering of ceramics using laser AE technique. Progress in AE XII:69–76, JSNDI

Embedded sensors



Bertola, N., Schumacher, T., Niederleithinger, E., & Brühwiler, E. (2024). *Early detection of structural damage in UHPFRC structures through the combination of acoustic emission and ultrasonic stress wave monitoring*.

Amplifiers



- Magnify AE signals
- Cables from the sensor to the amplifier are subjected to electro-magnetic noise
 → specially coated cables of short length shall be used
- Preferably with a flat response in the frequency range
- Gain of the amplifier in dB (decibels)
- Preamplifiers with state-of-the-art transistors minimize the electronic noise



https://www.innerspec.com/portable/standard-acoustic-emission-preamplifiers# https://www.vallen.de/products/preamplifier/



Variable bandwidth between 1 kHz and 2 MHz

- Choice of the filter depends on:
 - Noise level
 - Attenuation property of the material

Effect of the band-pass filter on AE waveforms

10kHz-300kHz

 $10 kHz\!-\!200 kHz$

10 kHz - 100 kHz

8µs

Data acquisition units



- A/D (analog to digital) conversion
- Triggering
- Data recording
- Anti-aliasing filtering
- Parameter analysis



https://atgndt.com/project/amsy-6/

Key Takeaways Instruments and measurements

- 1. Most commonly used AE sensors: contact piezoelectric sensors
- 2. Two types of sensors: resonant and broadband
- 3. Proper coupling of the sensors is very important for proper data acquisition
- 4. Sensors must be always stationary
- 5. AE signals are weak to improve signal-tonoise ratio, pre-amplifiers are used
- 6. DAQ \rightarrow analog-to-digital conversion





Content 1. General overview 2. Instruments and measurement process **Signal characteristics** 3. Signal characteristics A small break...? (15min) 4. Parameter based AE analysis 5. Signal-based approaches 6. Source localization 7. Summary, conclusions, outlook www.bam.de

AE – frequency range





AE signals in time domain





Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*.

Burst signals:

- Sources of emission are nonrepeatable and occur discretely
 - Independent in time-domain (crack growth, corrosion, local catastrophic yielding, etc.)

Continuous AE:

- Transient bursts are not discriminated
- AE produced by the numerous overlapping AE events (friction processes, leakages, etc.)

Measured units



AE units are voltage (V) or decibels (dB) which are related by:

$$dB = 20 \cdot \log(\frac{A}{A_{ref}})$$

Where:

- A measured voltage
- A_{ref} constant reference value

The energy of the signal is defined as:

$$E_{abs} = \int_0^{t_1} V^2(t) dt$$

Where:







Aggelis, D. G., Sause, M. G. R., Packo, P., Pullin, R., Grigg, S., Kek, T., & Lai, Y.-K. (2021). Acoustic Emission. In *Springer Aerospace Technology* (pp. 175–217). Springer Science and Business Media Deutschland GmbH

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Seismology vs Acoustic Emission







Modified from: Mhamdi, L., Schumacher, T., & Linzer, L. (2015). Seismology-based acoustic emission techniques for the monitoring of fracture processes in concrete structures. In Acoustic Emission (AE) and Related Non-destructive Evaluation (NDE) Techniques in the Fracture Mechanics of Concrete: Fundamentals and Applications (pp. 79–111). Elsevier Inc.

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Seismology vs Acoustic Emission





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Acoustic emission vs seismology





Sellers, E. J., Kataka, M. O., & Linzer, L. M. (2003). Journal of Geophysical Research.

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Key Takeaways AE signal characteristics

- 1. AE signals frequency range 20 kHz 2 MHz
- 2. Two types of AE signals: burst and continuous
- 3. Units of AE signals are volts (V) or decibels (dB)

$$dB = 20 \cdot \log(\frac{A}{A_{ref}})$$

4. Although there are differences in scale (space, time), geometry, loading, boundary conditions, and medium, earthquakes, mining-induced seismic events, and AE are similar phenomena









A small break...? (15 min)

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Parameter based AE analysis

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Parameter-based analysis



Idea: the signal can be sufficiently described by the set o characteristic parameters (or features), and storing this relatively small amount of information consumes far less time and storage space

Definitions:

- Hit: a signal that exceeds the threshold and causes a system channel to record data
- Event: hits coming from a common acoustic source
Signal parameters – time domain





Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition*. http://www.springer.com/series/15088

Signal parameters – frequency domain





Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). *Acoustic Emission Testing Basics* for Research-Applications in Engineering Second Edition. http://www.springer.com/series/15088

Ok, but... so what?

- Quick estimation of the event character
- Example: fracture mode evaluation



Fig. 1. Crack modes and AE signal.

Aggelis DG (2011) Classification of cracking mode in concrete by acoustic emission parameters. Mech Res Commun 38:153–157

Higher proportion of longitudinal waves → Shorter duration → Shorter rise time

Higher proportion of
share waves
→ Slower
→ Arrive later





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Classification of AE signals





Ohtsu M(2010) Recommendations of RILEM Technical Committee 212-ACD: acoustic emission and related NDE techniques for crack detection and damage evaluation in concrete: test method for classification of active cracks in concrete structures by acoustic emission. Mater Struct 43(9):1187-1189



Aggelis DG (2011) Classification of cracking mode in concrete by acoustic emission parameters. Mech Res Commun 38:153–157

Kaiser effect and felicity ratio





Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition. http://www.springer.com/series/15088

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Calm ratio

It is the total activity during unloading over the total activity during the whole cycle (loading and unloading)

- Calm ratio ~= 0: structurally stable material
- Increase of calm ratio: accumulation of damage



Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition. http://www.springer.com/series/15088

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b-value analysis





Mhamdi, L., Schumacher, T., & Linzer, L. (2015). Seismology-based acoustic emission techniques for the monitoring of fracture processes in concrete structures. In *Acoustic Emission (AE) and Related Non-destructive Evaluation (NDE) Techniques in the Fracture Mechanics of Concrete: Fundamentals and Applications* (pp. 79–111)

- Assumption: a change in the b-value indicates a change in the concrete's cracking behavior
- Describes overall patterns of large numbers of events statistically
- Characterizes large numbers of events (earthquakes or AE) using an empirical log-linear frequency-magnitude distribution
- Only one sensor is necessary
- The drop in the estimated b-value is a good predictor of the point of maximum applied total force

Gutenberg-Richter frequency-magnitude relation



Example of cumulative frequency-magnitude diagram (or Gutenberg-Richter Distribution)



$$log_{10}(N) = a - b \cdot M_L$$

Where:

 M_L - local magnitude – measure of the amount of energy released during an earthquake (strength of the event)

$$M_L = \log_{10} A(r) - \log_{10} A_0(r)$$

N - the number of earthquakes with magnitude > M_L

a, *b* - empirical constants

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b-value application in material science

 Max hit amplitude A(dB) is commonly used in b-value analysis for AE applications → amplitude-frequency distribution

 $A(dB) = 20 \cdot log_{10}(A(mV)) + 60 \, dB - G(dB)$

A(mV), G(dB) - the measured sensor output voltage and the signal gain provided by the preamplifiers, respectively

 Decrease in the b-value (below a value of approximately 1.0) may indicate that stresses are redistributed, and the cracking is more localized

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Parameter based AE – advantages and disadvantages



Pros:

- Less storage space
- Faster analysis
- Computational inexpensive
- Simplicity
- Not many sensors needed (even just one)
- Real-time analysis
- Cost-effective

Cons:

- Based on "idealized" assumptions
 - Point nature of the source
 - Linear behavior
- Some information is lost impossible to make elaborated analysis
- Limited view of the physics
- Settings and sensor type affect the results
- Comparison between datasets is difficult

Key Takeaways Parameter-based analysis

- Parameter analysis is based on extraction of descriptors that contain most of the waveform information, without the need to store the whole number of points
- Kaiser effect no new acoustic emissions will occur until the stress surpasses the previous highest level
- Calm ratio is the total activity during unloading over the total activity during the whole cycle (loading and unloading)
- 4. A change in the b-value indicates a change in the concrete's cracking behavior







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Signal-based AE techniques



- Use entire transient waveform of AE event
- Contains more information -> improved interpretation of fracture processes
- Two signal-based approaches:
 - Waveform analysis
 - Quantitative analysis (originating from seismology)
- Important:
 - Type of sensor
 - Coupling
 - Recordings synchronization

Waveform analysis



- Changes observable within recorded signals can be associated with changes in the structure being monitored
- Entire waveforms are analyzed and compared
- Suitable for application of machine learning and artificial intelligence techniques
- Does not rely on 3D localization
- In theory, can be performed with a single sensor
- Frequency analysis and waveform correlation

Waveform analysis



1. Waveform correlation

- 2. Time-frequency analysis, e.g.,:
 - wavelet transform
 - short-time Fourier transform
 - Hilbert-Huang transform
- 3. Machine Learning-based approaches, e.g.,:
 - Pattern recognition and clustering to discriminate different types of AE events
 - Matrix decomposition techniques (as singular value decomposition, independent component analysis, dictionary learning)
 - Neural networks for AE source localization

Hamstad, M., O'Gallagher, A., & Gary, J. (2012). A Wavelet Transform Applied to Acoustic Emission Signals: Part 2: Source Location. Journal of Acoustic Emission.



Quantitative analysis



- Goal: to describe the nature of each AE source as accurately as possible
- Requires a network of high-fidelity sensors and a sophisticated and timeconsuming analysis
- Mostly used in the laboratory significant requirements on equipment, computation, and interpretation
- Examples:
 - Source localization
 - Source inversion techniques:
 - First motion technique
 - Moment tensor inversion (MTI)

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Quantitative analysis

Relies on inversion methods

$$d = F(m) \rightarrow m = F^{-1}(d)$$

Where:

d - numerical modeling data *m* - set of model parameters *F* - operator representing the
governing equations relating the
model and data



Grosse, C. U., Ohtsu, M., Aggelis, D. G., & Shiotani, T. (2021). Acoustic Emission Testing Basics for Research-Applications in Engineering Second Edition. http://www.springer.com/series/15088

Quantitative analysis – First arrival





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Akaike Information Criterion (AIC) (Akaike, 1974)



Figure 5.18 P-wave arrival estimation for signals with (a) high and (b) low signal-to-noise ratios.

Moment Tensor Inversion - overview

- <u>Goal</u>: to use observed values of (ground) displacement to infer properties of the source
- Methods differ greatly according to the available data and purpose of study
- "absolute", "relative", and "hybrid" methods
- Based on methods used to estimate the Green's functions, which describe the wave propagation between a source and a receiver (sensor)





Grosse CU, Weiler B, Reinhardt HW (1997a) Relative moment tensor inversion applied to concrete fracture tests. J Acoust Emiss 14(3– 4):64–87

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Moment tensor inversion (MTI) in concrete AE

3. Absolute method

tensile, shear, or mixed-mode

tensor analysis (SiGMA)

- 4. Uses only P-wave arrival time and amplitudes in time domain
- 5. At least six AE signals are needed to perform MTI to obtain stable and reliable results (with high noise level - more signals are needed)

1. Simplified Green's functions for moment

2. Concrete cracking is categorized as either



emission. Construction and Building Materials, 24(12), 2339-2346.

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Ohno, K., & Ohtsu, M. (2010). Crack classification in concrete based on acoustic

Tensile Crack





Mixed-mode Crack Shear Crack





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Moment tensor inversion (MTI) in concrete AE example

SiGMA analysis in four-point bending test



Ohno, K., & Ohtsu, M. (2010). Crack classification in concrete based on acoustic emission. *Construction and Building Materials*, *24*(12), 2339–2346.

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So which one is better??



Parameter-based

- Faster, computationally cheaper
- Less data
- Good for statistical analysis
- No signal stored?

Signal-based

- Require more sensors
- Big data problem
- Time consuming
- More available due to the technology development

Different application!

Key Takeaways Signal-based analysis

- 1. Signal-based AE techniques Use entire transient waveform of AE event
- 2. Two signal-based approaches:
 - Waveform analysis
 - Quantitative analysis (source location and Moment Tensor Inversion MTI)
- 3. Important:
 - Type of sensor
 - Coupling
 - Recordings synchronization
- 4. In concrete, cracking is categorized as either tensile, shear, or mixed-mode









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Source location techniques



- Goal: to associate an AE event, formed from a collection of AE signals that arrive within a certain time window, with a physical cause
- Seismology-based localization techniques can be applied with minor modifications
- Inverse problem
- Crucial: accurate onset time determination



hou ZL, Zhou J, Dong LJ, et al. Experimental study on the location of an acoustic emission source considering refraction in different media. Scientific Reports. 2017 Aug;7(1):7472.

Classification of AE source location methods





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Geiger's method - principle



Goal: to minimize the residuals (r) between calculated c and observed o arrival time at each sensor

$$t_c^i = t(x_i, y_i, z_i, x_0, y_0, z_0) + t_0$$

$$r_i = t_i^0 - t_i^c \rightarrow min$$

Geiger's method relies on:

1.Sensors: x_i, y_i, z_i detect the waves at different locations.

2.Arrival Times: t_i^0 the time at which each sensor detects the wave.

3. Wave Speed: v the speed at which the wave travels through the material.



Geiger's method – how it works?

 Initial Guess: ☆
 Start with an initial guess for the event's location and time. This could be a random point or based on rough calculations.

- Calculate Predicted Times: Using the guessed location, calculate how long it would take the wave to reach each sensor, assuming you know the wave speed.
- Compare Predicted and Actual Times: For each sensor, compare the predicted arrival time to the actual arrival time detected by the sensor.
- Adjust the Guess: Adjust the guessed location and time to minimize the difference (errors) between the predicted and actual times.
- 5. Iterate:

Repeat the calculation and adjustment process until the errors are as small as possible.



$$D_i = \sqrt{(x_i - x)^2 + (y_i - y)^2 + (z_i - z)^2}$$

$$i = 1, 2, 3, ..., n$$

$$t_i = \frac{1}{v}D_i + t$$

$$\Delta t_i = t_{i,obs} - t_{i,calc}$$

$$r_i = t_i^0 - t_i^c \to min$$

Source of uncertainties



- 1. Errors in the picking of the arrival times
- 2. P-wave velocity
- 3. Travel paths in cracked heterogeneous and anisotropic materials
- 4. Number of sensors
- 5. Signal-to-noise ratio
- 6. The equations used generally assume that the material is homogeneous and isotropic, and that the AE source can be modeled as a point source.



Linzer, Mhamdi, & Schumacher, (2015). Application of a moment tensor inversion code developed for mining-induced seismicity to fracture monitoring of civil engineering materials. Journal of Applied Geophysics, 112, 256e267.

Geiger's method - example







Low localization accuracy due to surface effects

slow reaction kinetics, air bubbles get caught in the top layer of the AAM

Lay, V., Baensch, F., Skłodowska, A. M., Fritsch, T., Stamm, M., Prabhakara, P., Johann, S., Sturm, P., Kühne, H. C., & Niederleithinger, E. (2024). Multi-sensory Monitoring and Non-destructive Testing of New Materials for Concrete Engineered Barrier Systems. *Journal of Advanced Concrete Technology*, *22*(9), 516–529.

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1. Neural networks

Other methods

- 2. Probabilistic approaches (e.g. NonLinLoc, Lomax et al. 2000)
- 3. Direct algebraic methods from GPS technology
- 4. Time Reversal Methods

5. ...





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Key Takeaways Source localization

- 1. Proper source localization is necessary for quantitative methods in AE
- Most AE localization methods were developed in the framework of earthquake seismology
- 3. Many sources of uncertainties (first arrival picking, velocity estimation, travel paths...)







Summary, conclusions, outlook

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To sum-up: advantages/disadvantages



Why AE is great?

- + Passive and non-invasive
- Damage process can be observed during the entire load history
- Detecting new cracks and propagation of old ones
- + Can detect cracks at a very early stage
- + Fracture mode characterization
- + Source localization
- + Very sensitive
- + Relative position sensor-defect not critical

But...

- Method only capable to detect new cracks, progression of existing cracks or friction process
- Not perfectly reproducible
- Big datasets
- Very sensitive to noise and signal attenuation
- Low energy released
- Preferably combined with other methods

New Developments in AE techniques



- 1. "Big data" science machine learning and artificial intelligence necessary for progress
- ML and AI can be applied to localization or discrimination between AE and noise or the determination of different types of fractures
- AE in structural health monitoring (SHM) to evaluate the aging of a structure or component and to detect defects at an early stage
- 4. Digital twin technologies for structures as bridges, tunnels, machines, wind turbines


Thanks a lot for attention!

Any questions?

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