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How to protect research results with Intellectual Property

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Why should a researcher care about IPRs?

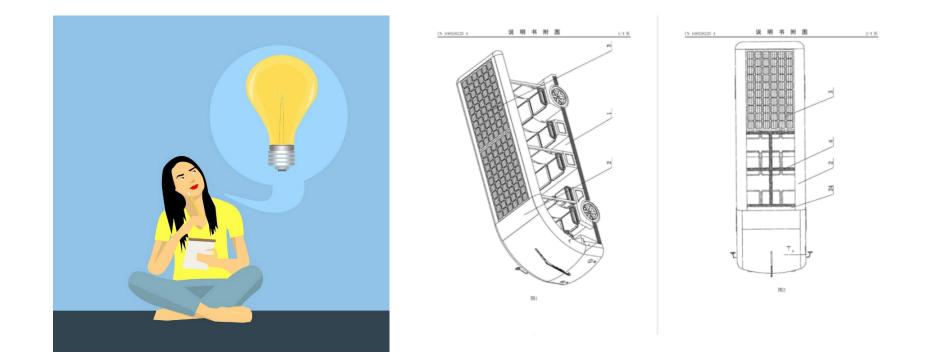
because....

- IP is everywhere around
- IPR is an essential asset in the knowledge-intensive economy (protects small and innovative intensive companies)
- You may generate valuable IP that enable you:
 - To avoid others from using your work without your consent
 - To obtain benefits through licensing it to third parties or by own exploitation
 - To obtain academic recognition and get financial backing
- You must be aware of others' IPRs in order not to infringe them and to build up from it



Can ideas be protected?

Ideas are an essential first step toward any invention but without some identifiable manifestation there can be no IP.





What are Intellectual Property Rights (IPRs)?

Copyrights

- Literary and artistic works (books, music, paintings, films...)
- Computer programs
- Web pages and data bases

computer programs Industrial Property

- Patents
- Utility models
- Trade marks
- Industrial designs

Trade Secrets (confidential information)



Copyright vs. Industrial Property

Similarities	Differences
Economic relevance	Object of protection (cultural vs industrial)
Human creation	Legal base
Exclusive rights	Ways rights are born
Intangible assets	Content, geographical and time limits



One product = multiple IPRs

1.500 to 2.000 patents

Data-processing methods, semiconductor circuits, chemical compounds, etc.

Registered design Shape of phone **Registered trade marks** Brand name, start-up and ring tone (i.e. <u>Nokia Tune</u>) Copyright

Software, ringtones and images

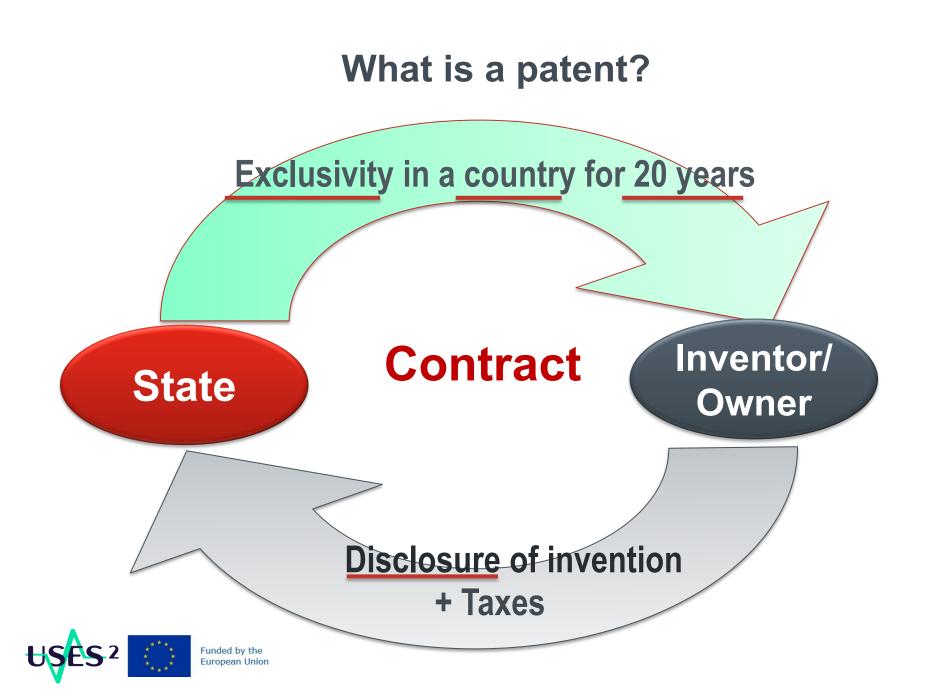
Trade secret

market research and strategies



Patents





What can be patented?

The following are not patentable inventions:

- discoveries, scientific theories, mathematical methods
- aesthetic creations
- schemes, rules and methods for performing intellectual or economic activities or games
- computer programs.
- presentations of information
- medical or diagnostic treatment
- inventions whose commercial exploitation would be contrary to morality (e.g. cloning of human life, genetic modification of humans)
- plants or animal varieties

Article 52-53 European Patent Convention (EPC)



What requirements must an invention meet in order to be patentable?

Inventions that solve a technical problem only if they are:

- **New** (does not form part of the state of the art)
- Involve Inventive step (not obvious to a person skilled in the art)
- Susceptible of Industrial application (solve a technical problem, not necessarily in a profitable way)

The invention might be a product, a process/method, or a chemical compound.



Article 52(1) EPC

What is the "state of the art"?

Everything (in the **world**) made available to the public by means of ...



... before the filing date of the application



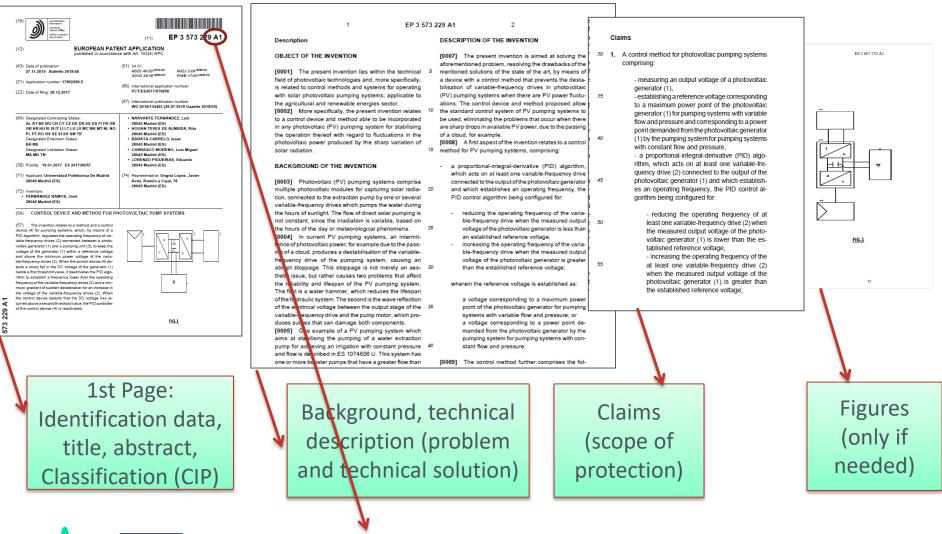


Keep inventions confidential ... until filing a patent application!

First patent, then publish



What information do patents contain?



**** Funded by the European Union A published patent document may be a granted patent when there's a "**B**" or an application when there is an "**A**"

Patent documents are accessible free of charge and may be used to: <u>Espacenet</u> (FREE)

- Find out what exists and build on it (avoid duplicating)
- Check out if my invention is new.
- Avoid infringing other people's rights "Freedom to Operate"
- Keep track of what others are doing.

access to over 130 million patent documents easily searchable

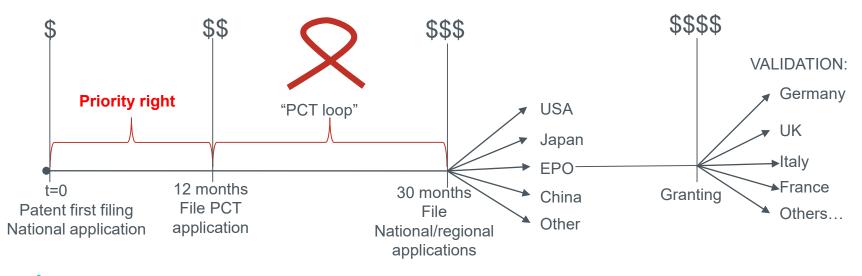
Patent Translate Automatic translation to and from English and over 30 languages

USES-2 European Unic

Can I extend my patent to other countries?

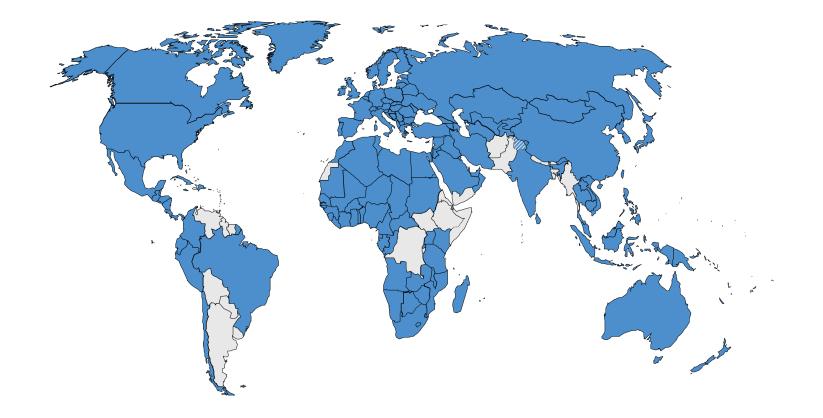
There is not such thing as an international patent

Patents must be filed in the country where protection is sought There are international agreements that facilitate the process





PCT Countries (157)





Source WIPO

Map showing the geographic coverage of European patents as of 15 January 2024

- Hungary

- Iceland

- Ireland

- Italy

- Latvia

- Malta

- North

- Monaco

- Liechtenstein

- Luxembourg

- Montenegro

Macedonia

- Lithuania

Member states (39)

- Albania
- Austria
- Belgium
- Bulgaria
- Croatia
- Cyprus
- Czech
- Republic
- Denmark
- Estonia
- Finland
- France
- Germany - Greece

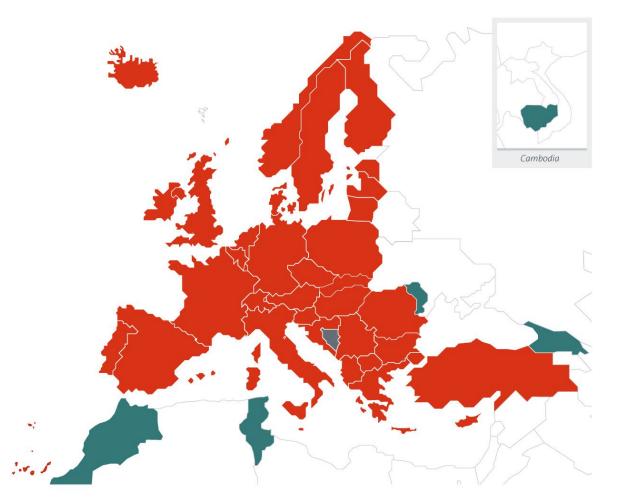
- Norway - Poland - Portugal - Romania - San Marino - Serbia - Slovakia - Slovenia - Spain - Sweden - Switzerland - Türkiye
- Netherlands - United
 - Kingdom

Extension states (1)

- Bosnia and Herzegovina

Validation states (5) Agreement in force

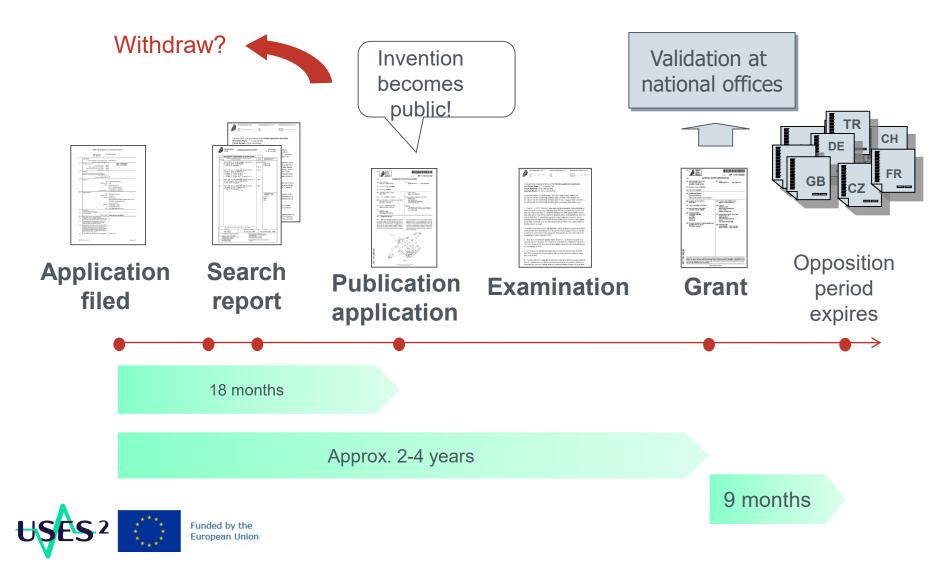
- Cambodia
- Georgia
- Republic of Moldova
- Morocco
- Tunisia





Source: EPO

How are patents obtained at the EPO?



How much does a patent cost?

The price has two components:

- **Official fees** depend on the national/regional patent offices (Filing, granting, renewal)
- **Patent attorney's fees** depend on the complexity of the invention, the objections raised during the examination by the patent office,...

Patenting costs are eligible costs in many projects (i..e. Horizon Europe) and they might be included in the Budget.



¿Who owns inventions developed jointly by researchers from different institutions?

Results of European projects, by default, belong to the institution that has generated them.

When results from different institutions cannot be separated there will be joint ownership. A co-ownership agreement must be signed to regulate:

- ✓ Share of ownership (%)
- ✓ Authorship
- \checkmark Institution in charge of patent management and cost sharing
- Conditions for abandonment, internationalization, commercialization....



Alternatives to patenting

Disclose (publish) the information

Keep it a secret



What should you do if you have an invention worth patenting?

- Find out if the technology already exists
- Avoid disclosing information before patent application
- Seek for advice to a patent expert (Patent attorney or Technology Transfer Office in case of university research)



"After fire and the wheel, it was only logical to invent the patent attorney."



A success story: Agricultural irrigation based on high-power photovoltaic pump systems

- UPM researchers developed an irrigation technology based on renewable energies
- Advantages: Reduce water consumption 30% and energy costs 60%-80%
- Potential consumers: farmers, cooperatives, irrigation communities
- Priority patent in Spain and extended to Argentina, Peru, and European Patent Office (EPO).
- Business model: sign numerous non-exclusive license agreements with the local installation SMEs

Control procedure and device for photovoltaic pump systems <u>ES2607253B2</u> (Priority patent), <u>WO2018134453A1</u>, <u>AR110711A1</u>; <u>EP3573229A1</u>, <u>PE20191293A1</u>







Copyright



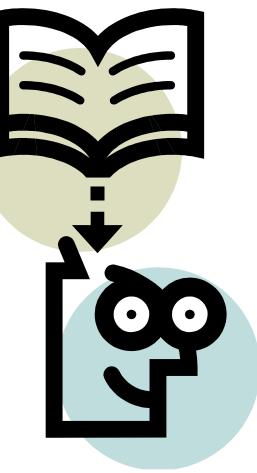
What is copyright?

Copyright is a form of protection for **original** works of authorship **fixed** in a tangible medium of expression

It requires:

- Human creation
- Originality
- To be fixed in a tangible format. (Ideas are not protected but the way they are expressed are)

THE RIGHT IS BORN WITH CREATION OF THE WORK Copyright exists from the moment the work is created. **No registration is required.**





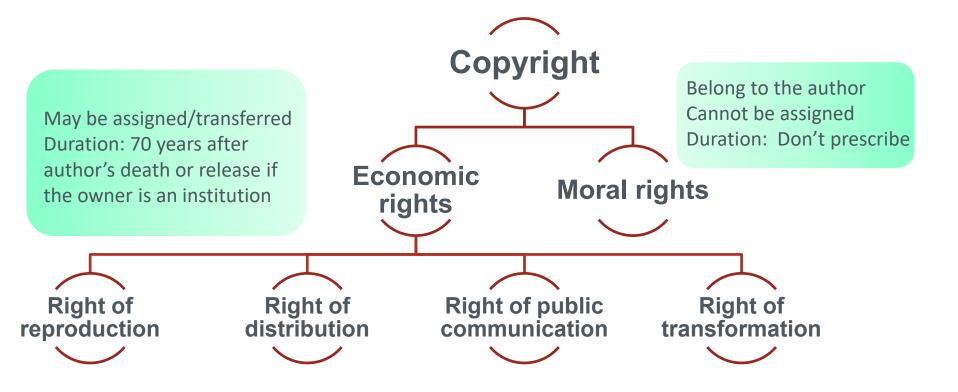
The case of the monkey selfies



- Selfies taken by macaques using equipment belonging to the British photographer D.Slater.
- Slater claimed copyright on the images
- The US Copyright Office stated that works created by <u>a non-human are not</u> <u>subject to US copyright</u>.



What rights does copyright confer?



Protection in a country is extensible to other countries according to international treaties.



What does copyright protect?

- Literary, dramatic, musical, and artistic works, such as poetry, novels, movies, songs, photography architecture, scientific works......
- Computer programs
- Data bases
- Web pages and multimedia



Copyright for computer programs

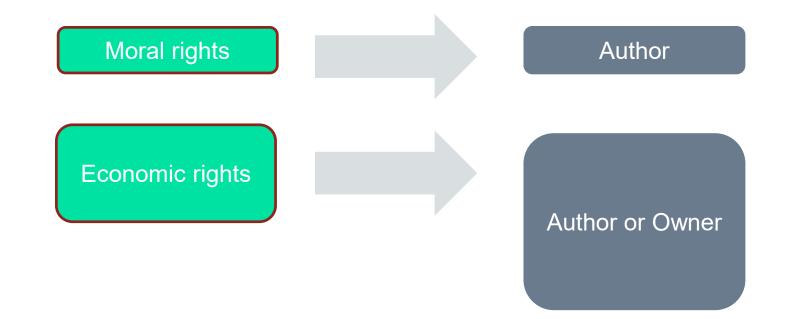
A computer program is a sequence of instructions for performing a specified task with a computer

.....it also includes the preparatory documentation, technical documentation and manuals for use of the program.

Computer programs → Their expression is protected but not the underlying ideas an principles



Who owns copyrights?





How can we protect copyright ?

Registry is not a requirement

... **but provides legal advantage** in the event of an infringement suit because:

- It assumes the existence and ownership of IP rights
- It represents evidence before third parties (Art. 319 Spanish Civil Prosecution Law)

• In any case (registered or not) it is always recommended to use the © symbol:





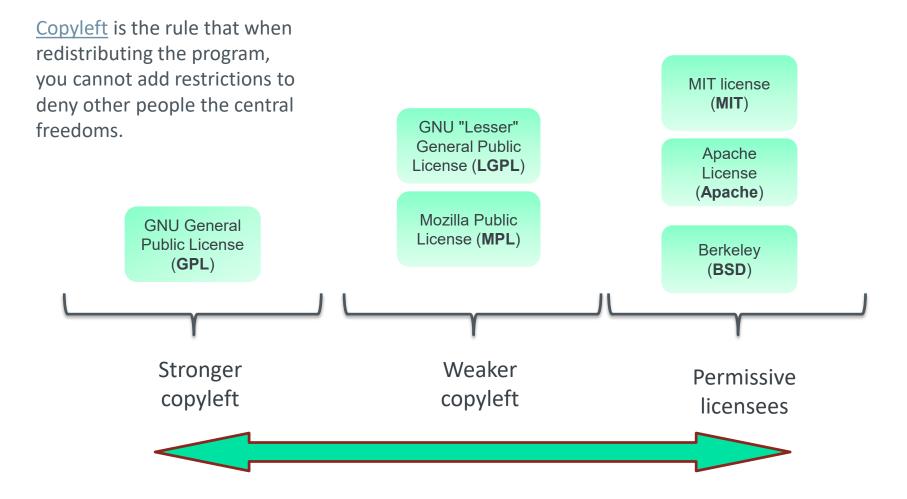
Categories of software licenses

- Proprietary licenses (close source)
- Free and open source licenses (grants access to the source code):
 - With weak restrictions
 - With strong restrictions

License: Authorization or permission to do something on, or with, somebody else's property which, were it not for the license, could be legally prevented or give rise to legal action in tort or trespass.



Types of open source licenses





Cost for copyrights

- Copyrights born with the creation of the work. No registration is required, so there's no cost associated.
- In case you decide to register copyrights, the cost in Spain is under 50€



Thank you for your attention!



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